PTO/SB/08A/B (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/591,804	
١N	<b>IFORMATION</b>	N DISC	CLOSURE	Filing Date	September 6, 2006	
S	STATEMENT BY APPLICANT			First Named Inventor	John M. Lucas	
				Art Unit	Not Yet Assigned	
(Use as many sheets as necessary)				Examiner Name	Not Yet Assigned	
heet	1	of	3	Attorney Docket Number	06005/41116	

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/CJB/	A1	US-20020019672	02-14-2002	Paunonen	
8	A2	US-20020022894	02-21-2002	Eryurek et al.	
0000	A3	US-20020022895	02-21-2002	Genise et al.	
8	A4	US-20020055790	05-09-2002	Havekost	
XX	A5	US-20020077711-A1	06-20-2002	Nixon et al.	
000	A6	US-20020193888	12-19-2002	Wewalaarachchi et al.	
8	A7	US-20030014500	01-16-2003	Schleiss et al.	
000	A8	US-20030028269	02-06-2003	Spriggs et al.	
8	A9	US-20030153988	08-14-2003	Shepard et al.	
8	A10	US-20030191803	10-09-2003	Chinnici et al.	
0000	A11	US-20030236576	12-25-2003	Resnick et al.	
0000	A12	US-20040075689	04-22-2004	Schleiss et al.	
8	A13	US-20040153804	08-05-2004	Blevins et al.	
8000	A14	US-20040199925	10-07-2004	Nixon et al.	
ě	A15	US-20050197803-A1	09-08-2005	Eryurek et al.	
8	A16	US-20050197805-A1	09-08-2005	Eryurek et al.	
80	A17	US-20050197806-A1	09-08-2005	Eryurek et al.	
	A18	US-5,168,441	12-01-1992	Onarheim et al.	
	A19	US-5,611,059	03-11-1997	Benton et al.	
	A20	US-5,631,825-A	05-20-1997	van Weele et al.	
	A21	US-5,680,409	10-21-1997	Qin et al.	
	A22	US-5,706,455	01-06-1998	Benton et al.	
	A23	US-5,841,654	11-24-1998	Verissimo, et al.	
	A24	US-5,926,177	07-20-1999	Hatanaka et al.	
	A25	US-6,173,208	01-09-2001	Park et al.	
	A26	US-6,362,839	03-26-2002	Hamilton et al.	
000	A27	US-6,385,496	05-07-2002	Irwin et al.	
800	A28	US-6,396,516	05-28-2002	Beatty	
8	A29	US-6,445,963-B1	09-03-2002	Blevins et al.	
0000	A30	US-6,571,133	05-27-2003	Mandl et al.	
80	A31	US-6,587,108-B1	07-01-2003	Guerlain et al.	
	A32	US-6,618,630	09-09-2003	Jundt et al.	
W	A33	US-6,633,782	10-14-2003	Schleiss et al.	
/CJB/	A34	US-6,691,280	02-10-2004	Dove et al.	

		FOREI	GN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code³ -Number⁴-Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
/CJB/	B1	EP-0813129	12-17-1997	Foxboro Co		
/CJB/	B2	EP-1122652	08-08-2001	Mitsubishi Electric Corp		П
/CJB/	ВЗ	GB-2349958	11-15-2000	Fisher Rosemount Systems Inc		
/CJB/	B4	GB-2372365	08-21-2002	Fisher Rosemount Systems Inc		

Examiner	/Ourstal I Damas Dullasti/	Date	00/00/0000
Signature	/Crystal J. Barnes Bullock/	Considered	09/30/2008

Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for form 1449/PTO			Complete if Known		
Sub	stitute for form 1449/PTO			Application Number	10/591,804	
11	IFORMATIO	N DI	SCLOSURE	Filing Date	September 6, 2006	
	TATEMENT			First Named Inventor	John M. Lucas	
	/A; LiviLivi			Art Unit	Not Yet Assigned	
	(Use as many s	heets a	s necessary)	Examiner Name	Not Yet Assigned	
Sheet	2	of	3	Attorney Docket Number	06005/41116	

/CJB/	B5	WO-95/04314	02-09-1995	Fisher-Rosemount Systems, Inc.	
20000000	B6	WO-2002/071169	09-12-2002	Fisher-Rosemount Systems, Inc.	
20000000	В7	WO-2003/075206	09-12-2003	Fisher-Rosemount Systems, Inc.	
20000000	В8	WO-2005/107409	11-17-2005	Fisher-Rosemount Systems, Inc.	
***************************************	В9	WO-2005/107410	11-17-2005	Fisher-Rosemount Systems, Inc.	
***************************************	B10	WO-2005/107416	11-17-2005	Fisher-Rosemount Systems, Inc.	
000000	B11	WO-2005/109122	11-17-2005	Fisher-Rosemount Systems, Inc.	
000000000	B12	WO-2005/109123	11-17-2005	Fisher-Rosemount Systems, Inc.	
0000	B13	WO-2005/109124	11-17-2005	Fisher-Rosemount Systems, Inc.	
0000000	B14	WO-2005/109125	11-17-2005	Fisher-Rosemount Systems, Inc.	
000000000000000000000000000000000000000	B15	WO-2005/109126	11-17-2005	Fisher-Rosemount Systems, Inc.	
000000000000000000000000000000000000000	B16	WO-2005/109128	11-17-2005	Fisher-Rosemount Systems, Inc.	
000000000	B17	WO-2005/109129	11-17-2005	Fisher-Rosemount Systems, Inc.	
X00000000	B18	WO-2005/109130	11-17-2005	Fisher-Rosemount Systems, Inc.	
V	B19	WO-2005/109131	11-17-2005	Fisher-Rosemount Systems, Inc.	
/CJB/	B20	WO-2005/109250	11-17-2005	Fisher-Rosemount Systems, Inc.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov/nr/PEP 901.04">www.uspto.gov/nr/PEP 901.04</a>. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as Indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/CJB/	C1	CAMO product datasheet, "The Unscrambler 9.6," www.camo.com.	
/CJB/	C2	Developers.sun.com, "Core J2EE Patterns - Data Access Object." CORE J2EE PATTERN CATALOG (2004). Retrieved from the Internet on 02/01/2006: <url: <a="" href="http://web.archive.org/web/20040414043406/java.sun.com/blueprints/corej2eepatterns/Patter">http://web.archive.org/web/20040414043406/java.sun.com/blueprints/corej2eepatterns/Patter</url:>	

		· · · · · · · · · · · · · · · · · · ·
Examiner   /Crystal J. Barnes Bullock/	Date Considered	09/30/2008
O'G'IC'A' O		

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sut	Substitute for form 1449/PTO			Complete if Known		
Jul	Saudio Ioi Ioini 1445/1 10			Application Number	10/591,804	
l IN	<b>NFORMATION</b>	N DI	SCLOSURE	Filing Date	September 6, 2006	
S	STATEMENT BY APPLICANT			First Named Inventor	John M. Lucas	
				Art Unit	Not Yet Assigned	
	(Use as many sh	eets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	3	of	3	Attorney Docket Number	06005/41116	

		ns/DataAccessObjects.html>>	
/CJB/	C3	Han et al., "Web Based rSPC (realtime Statistical Process Control) System Supporting XML Protocol," ISIE, Vol. 1, pp.399-403 (2001).	
000000000	C4	Mehta et al., "Feedfoward Neural Networks for Process Identification and Prediction," Neural Network and Experty Systems Presentation (2001).	
0000000000	C5	Mohr et al., "BizTalk and Application Integration - Translating Between Message Specification: BizTalk Mapper," Professional BizTalk, pp.1-3 (2001).	
2000000000	C6	StatSoft product datasheet, "Statistica, Multivariate Statistical Process Control," www.statsoft.com.	
300000000	C7	Tzovla et al., "Abnormal Condition Management Using Expert Systems," Neural Network and Expert Systems Presentation (2001).	
1/	C8	Umetrics product datasheet, "SIMCA-P 11" and "SIMCA-P+ 11," www.umetrics.com.	
W	C9	W3C, "XSL Transformations (XSLT)," W3C Recommendation, pp.3 (1999).	
/CJB/	C10	International Search Report for International Application No. PCT/US2005/015588, mailed August 26, 2005.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.